

# Democracy Enhancing Technologies: Toward deployable and incoercible E2E elections

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# Voting Requirements

- The first election in Canada was a public vote: full **integrity** but no secrecy
- Now we use secret ballot: **secrecy** but little integrity
- Extra procedures allow **verification** of a single polling place with a full day commitment

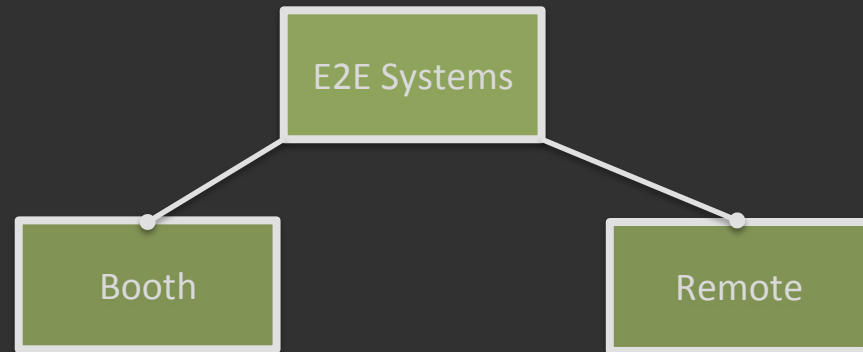
# End-to-End (E2E) Verification

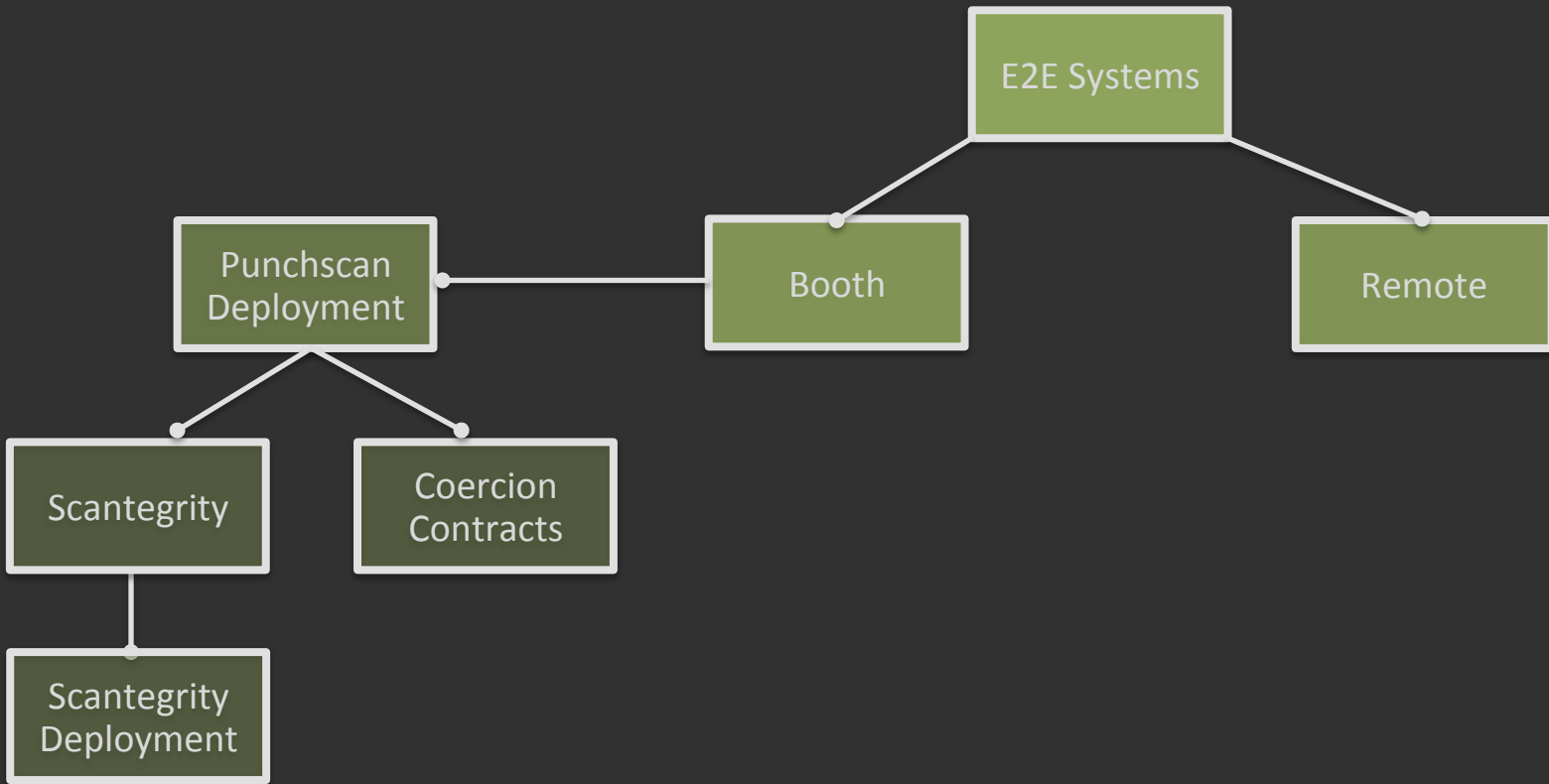
- Same *integrity* as a public vote
- Same *ballot secrecy* as a ballot box
- Same level of *verification* as watching the ballot box all day
- Plus: verification can be done after the election at any time and covers all precincts

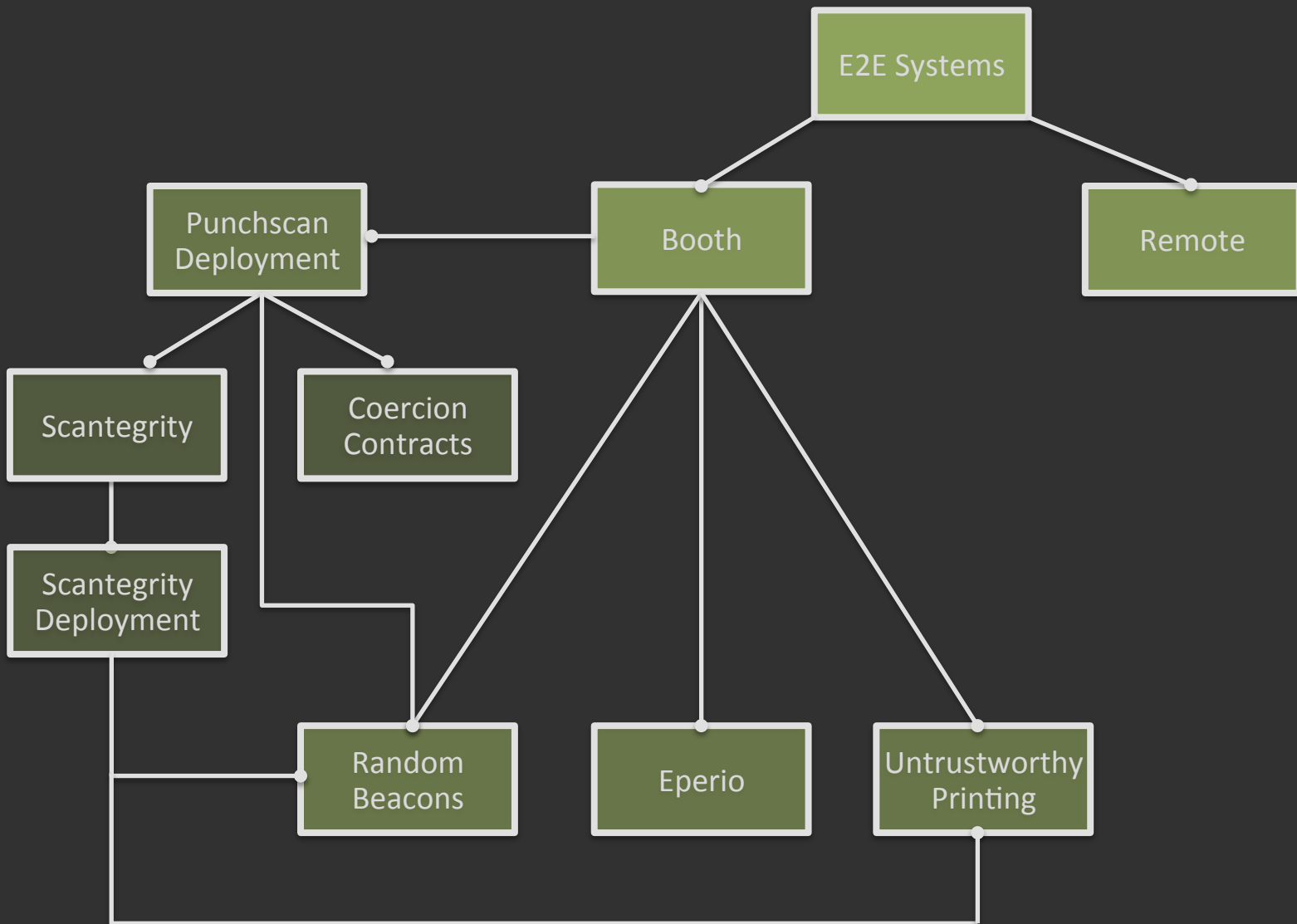
# Thesis

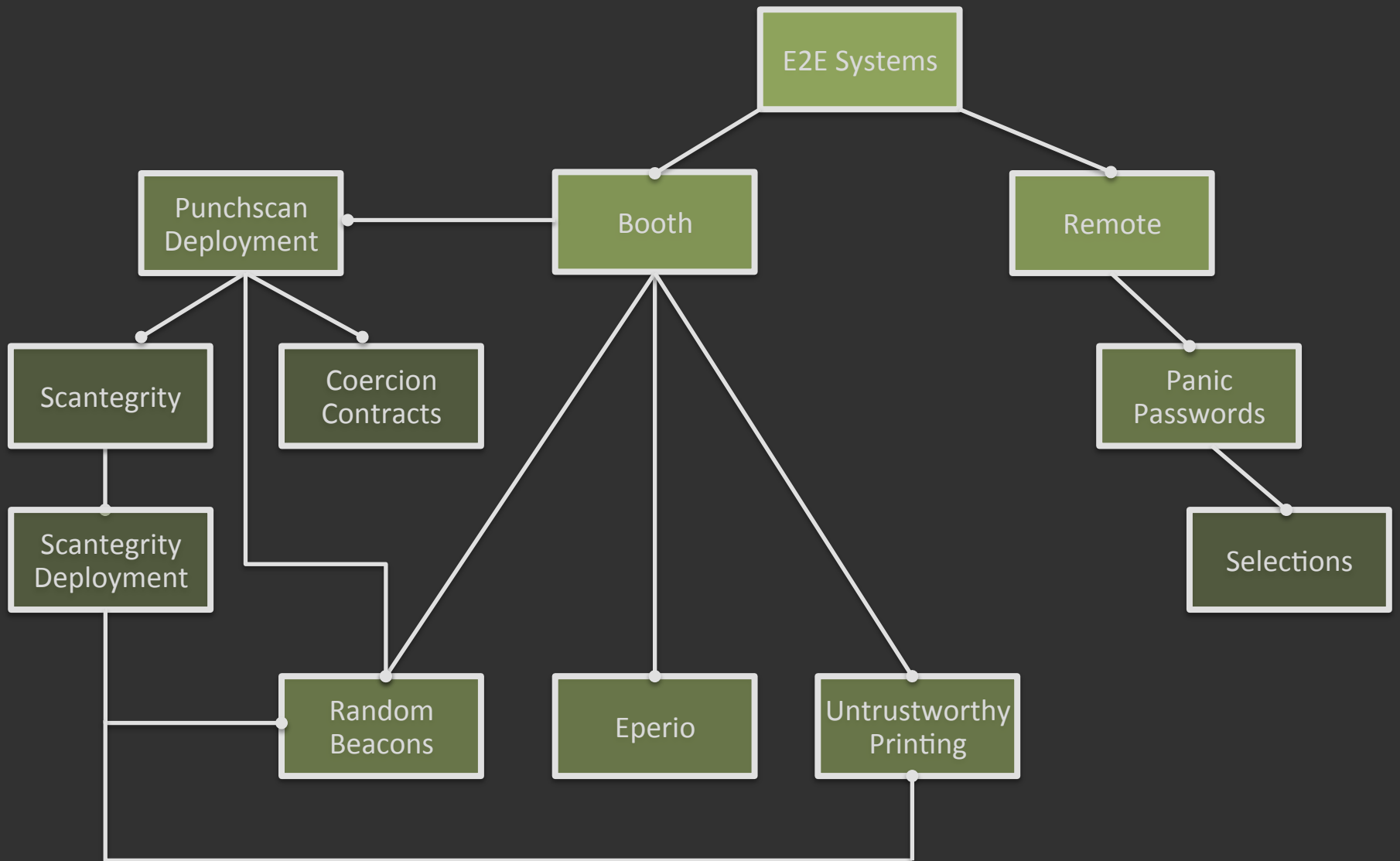
- End-to-end verifiable voting systems, and their components, can be designed for real-world **deployability** while maintaining a strong notion of ballot secrecy and **incoercibility**, even in the case of internet voting

# Roadmap











# Scantegrity

[EVT 2008]

# Problem

- Paper-based E2E systems are generally a **replacement** for existing systems
- They do not permit **manual recounts** or **traditional audits**
- This may create **barriers to entry**: conceptual, legal, costs, etc.

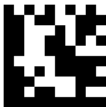
# Contributions

- Scantegrity is an **add-on** for **optical scan systems**
- It interfaces with **existing technology** and does not interfere with traditional audits
- Verifiability is **opt-in** and ballot marking is a **similar** experience
- Scantegrity also provides very powerful **dispute resolution** properties

# Relation to Thesis

- Scantegrity addresses lessons learned **deploying** its predecessor Punchscan
- Simple scenarios that arise in real elections, can create non-obvious **incoercibility** issues
- For example, **spoiling** ballots

# Scantegrity Ballot

**President** (VOTE FOR ONE) 

John Adams (FEDERALIST)

Aaron Burr (DEMOCRATIC-REPUBLICAN)

**ZK** Thomas Jefferson (DEMOCRATIC-REPUBLICAN)

Thomas Pinckney (FEDERALIST)

Write confirmation code here:

**31337** *Pres - ZK*

# Municipal Election with Scantegrity

[Usenix Security 2010]

# Problem

- Various E2E systems have been **used** in elections, however none in a **public-sector** election
- There is generally a deficit of **data** on voter experiences with E2E systems

# Contributions

- We ran a **municipal election** with Scantegrity
- This was the first **public-sector** election for any E2E system (and any **open source** system)
- We collected **observational** data, such as time-to-vote
- 271 (out of 1722) voters and 5 pollworkers provided **feedback** through surveys



# Relation to Thesis

- This election is an important **milestone** for the **deployment** of paper-based E2E systems
- Redesigned many aspects of the system and procedures after a mock election to improve **practicality**

City of Takoma Park, Maryland  
MUNICIPAL ELECTION  
NOVEMBER 3, 2009

OFFICIAL BALLOT — WARD 3

Instructions: Vote for candidates by indicating your first-choice candidate, your second-choice candidate, and so on. You are free to rank only a first choice if you wish.

Do not fill in more than one oval per column. Do not fill in more than one oval per candidate. Do not skip numbers in the ranking sequence.

To vote for a person whose name is not printed on the ballot, write the name in the space provided and fill in one box in the column indicating your ranking of the write-in candidate.

If you make a mistake on your ballot, return it to the judge and get another.

Do not make any identifying marks on your ballot.

When you mark an oval to rank a candidate, a code will be revealed that you may later use to verify your vote online. See the instruction sheet in the voting booth.

Ciudad de Takoma Park, Maryland  
ELECCIONES MUNICIPALES  
3 DE NOVIEMBRE DE 2009

BOLETA OFICIAL — DISTRITO ELECTORAL 3

Instrucciones: Vote por los candidatos indicando al candidato que sea su primera opción, al candidato que sea su segunda opción, y así sucesivamente. Si lo desea, puede limitarse a seleccionar solamente al candidato que sea su primera opción.

No rellene más de una casilla por cada columna. No rellene más de una casilla por cada candidato. No salte números en la secuencia de clasificación por orden.

Para votar por una persona cuyo nombre no esté impreso en la boleta, escriba el nombre en el espacio provisto y rellene una casilla en la columna para indicar el orden de clasificación del candidato que se ha añadido.

Si usted comete un error en su boleta, devuélvala al juez y pida otra.

No haga marcas en su boleta que puedan identificarla.

Cuando usted marque la casilla para votar por un candidato, verá un código que podrá usar posteriormente para verificar su voto por Internet. Vea la hoja de instrucciones en la cabina de votación.

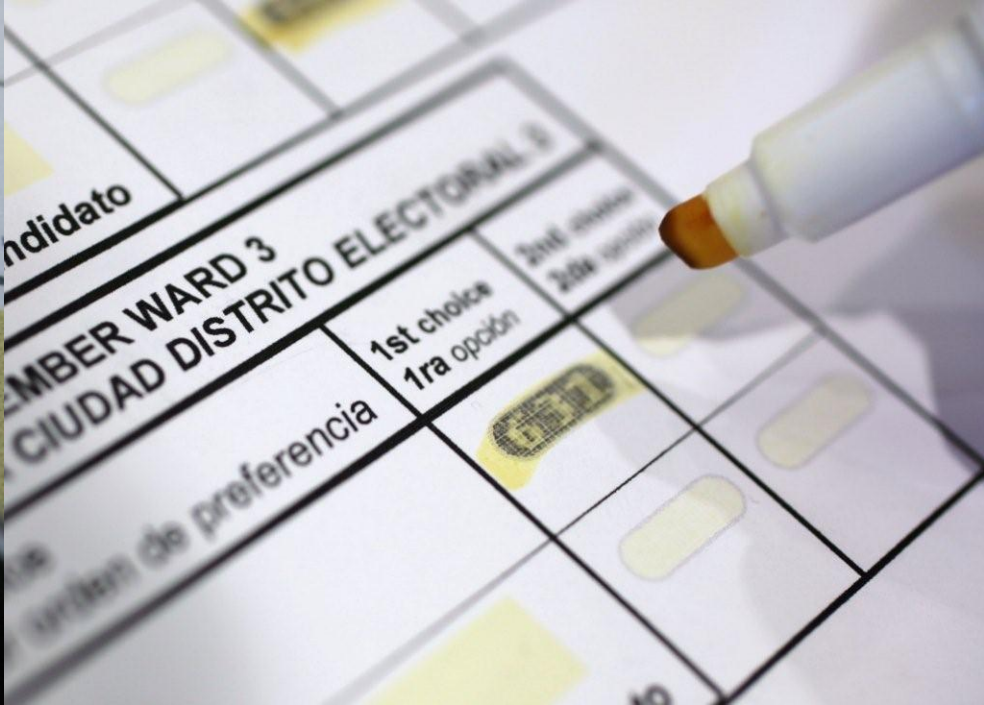
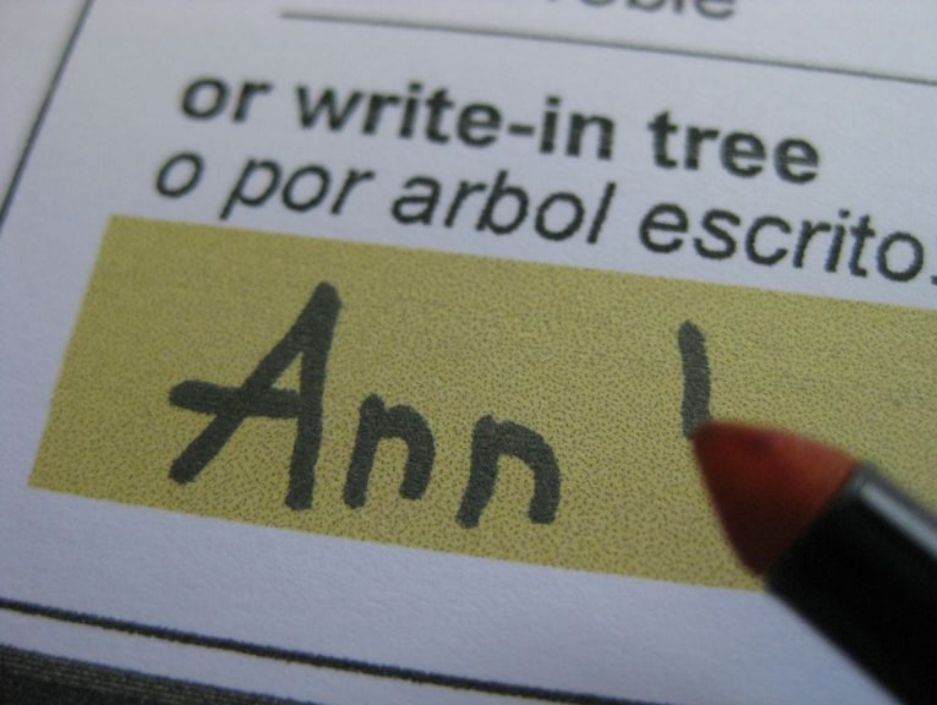
MAYOR ALCALDE			
Rank candidates in order of choice Clasifique a los candidatos por orden de preferencia	1st choice 1ra opción	2nd choice 2da opción	3rd choice 3ra opción
Roger B. Schlegel	1020		
Bruce Williams			1020
Tom Smith		1020	
Write-In Candidate/Para añadir a un candidato			

CITY COUNCIL MEMBER WARD 3 MIEMBRO DEL CONSEJO DE LA CIUDAD DISTRITO ELECTORAL 3		
Rank candidates in order of choice Clasifique a los candidatos por orden de preferencia	1st choice 1ra opción	2nd choice 2da opción
Dan Robinson		
Write-In Candidate/Para añadir a un candidato		

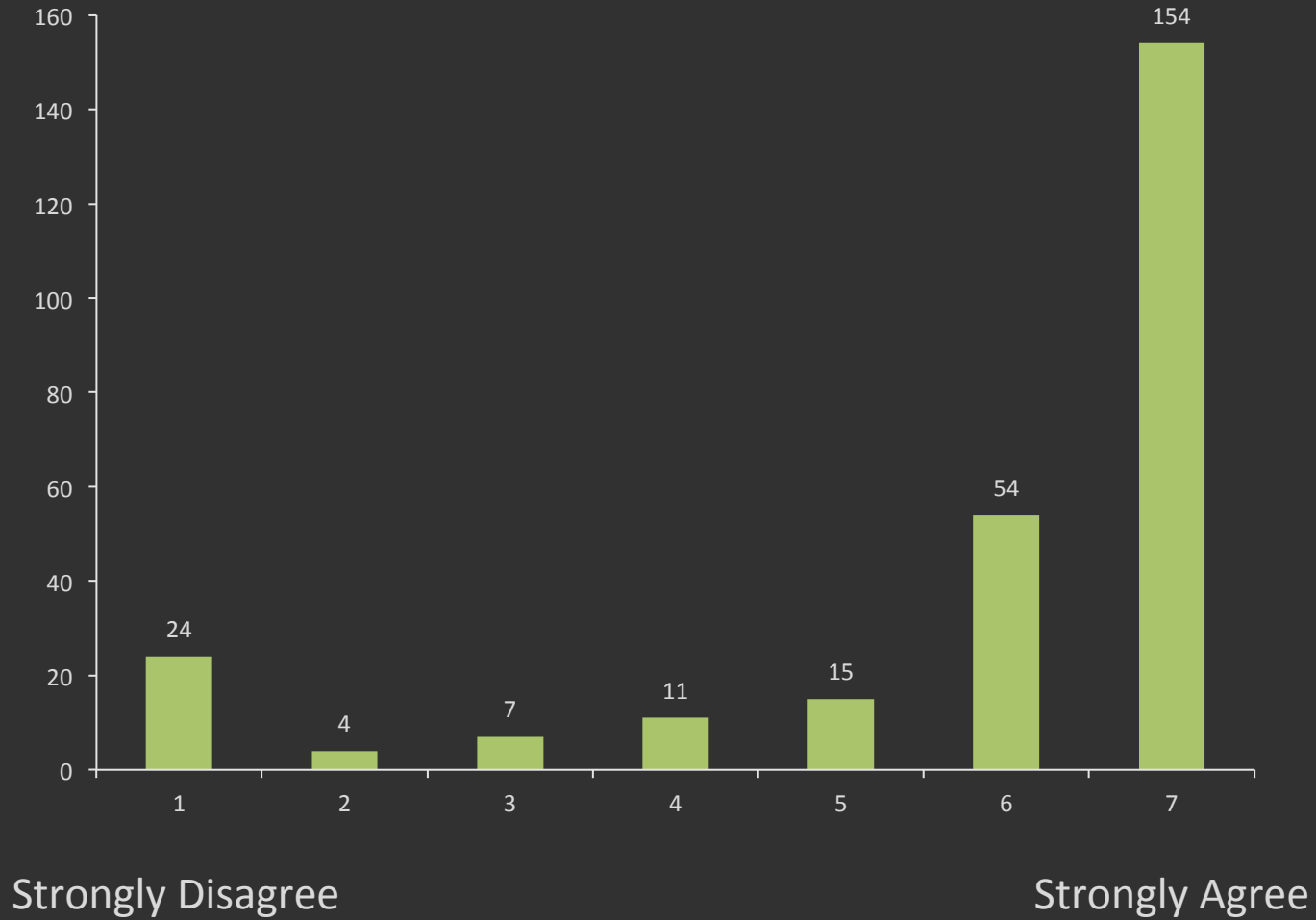


3-972853

Online Verification Number  
Número de Verificación por Internet



## Overall, the voting system was easy to use



# Coercion Contracts

[Vote-ID 2009]



# Problem

- A number of papers presented a **subtle attack** against Punchscan receipts
- Adversary can **influence** the probability that a (utility-maximizing) voter will vote for the adversary's **preferred candidate** through a **contract**
- The specifics of these contracts **varied** and no general properties were presented

# Contributions

- We analyze the three examples in the literature
- We generalize contracts to arbitrary number of candidates and levels of utility
- We examine the effectiveness of contracts when voters deceive the adversary

# Relation to Thesis

- Maintaining **incoercibility** is difficult in E2E systems
- Issues like these tend to arise when you replace standard cryptographic primitives with **techniques** that can be used on paper or by voters **without computers** (i.e., **deployable systems**)
- Coercion contracts, and this study, influenced the **design** and **procedures** of Scantegrity



# Random Beacons

[EVT/WOTE 2010]

# Problem

- Systems like Punchscan and Scantegrity (and Eperio) require **external, verifiable randomness** for the tally proof
- We used, heuristically, **financial data** to create the required challenges
- The **soundness** of this approach was not studied: do closing prices have **sufficient entropy**?

# Contributions

- We used tools from computational finance to **conservatively** estimate the **entropy** in a closing price
- For **MSFT** over a single day: **7.76 bits** of entropy
- For **DJIA** (40 stocks) over a single day: **218 bits** of entropy

# Contributions

- We also consider how to **convert** a list of prices into a **usable random seed**
- Our approach: use proper **extraction** plus we add some **additional** security properties that could be useful in general scenarios

# Relation to Thesis

- These contributions are about **deployability**
- Financial data is used because it is **intuitive**, widely **available**, and provides **sufficient** entropy in a timely manner

# Eperio: Election verification in a spreadsheet

[EVT/WOTE 2010]

# Problem

- **Auditing** E2E systems, like Scantegrity (or systems based on more involved cryptography), is a **difficult** task
- Existing auditing tools require **configuration**, specific versions of software, external libraries, etc.

# Contributions

- Eperio is a **verification protocol** that can be interfaced with paper-based E2E ballots
- Eperio is designed to be **lightweight**, easy to audit, and **fast**
- Auditing can be done **manually** within a **spreadsheet**, with a spreadsheet **macro**, or with **custom code**
- Python implementation: ~50 lines of code and runs **out-of-the-box** on OS X, Linux & bootable Linux CDs



# Relation to Thesis

- A commonly cited **criticism** of E2E systems is that they are not **understandable**
- We feel that simplifying systems can help **deployment** in real world elections
- With Eperio, more voters can **learn by doing**

# Toward Untrustworthy Printing

[HotSec 2009]

# Problem

- Many paper-based E2E systems offer strong **ballot secrecy** throughout the election except when the ballots are **printed**
- Can we **distribute printing** of arbitrary secrets between two non-colluding printers?

# Contributions

- A protocol for printing a **random string** from a set of strings
- A protocol for printing a **random permutation** of a set of strings
- Seven-segment logic to **reduce** the representation of a character

# Relation to Thesis

- **Printing** is a direct consequence of using paper-based voting systems, and paper-based systems themselves are being explored for **deployability** reasons
- An adversary that can corrupt the printer can **coerce** voters

# Two-Party Printing (HBC)

- Printers A and B agree on an **authenticated** sheet of paper to be used
- Printer A generates a random visual cryptography **share** and prints it in **invisible ink**
- Printer A generates a **set of shares** that will combine with A's random share to generate the **set of strings**
- Printer A **permutes** the set
- Printer B chooses a **random** index and A and B use **oblivious transfer** to send the share to B
- Printer B prints its share on top in **invisible ink**

# Panic Passwords

[HotSec 2008]

# Problem

- Panic passwords are a way for a user to **signal distress** covertly
- No attention from the academic community
- The trivial solution: issue **two passwords**, one real and one fake, does not usually work: adversary will **demand both** passwords



# Contributions

- We provide a **threat model** for categorizing scenarios where panic passwords may be used
- We identify **novel attacks** against the panic password systems
- We present several **new systems** to protect against strong adversaries
- In particular, we provide a system that is **suitable** for internet voting

# Relation to Thesis

- **Incoercibility** is difficult in internet voting because an adversary can be physically present while the voter casts a vote
- Panic passwords seem like a **good fit** for providing incoercibility
- They also have deployability advantages relative to **cryptographic techniques** for **faking** actions

# Selections: Coercion-Resistant Internet Voting

[Financial Cryptography 2011]

# Problem

- Internet voting allows for **coercion** and **vote selling**
- Literature mitigates these attacks by allowing voters to fake some authentication values
- Authentication is based on cryptographic values (“**something you have**”) and faking a value requires **computations**
- No internet voting system in the literature allows both **linear tallying** and **revocation**

# Contributions

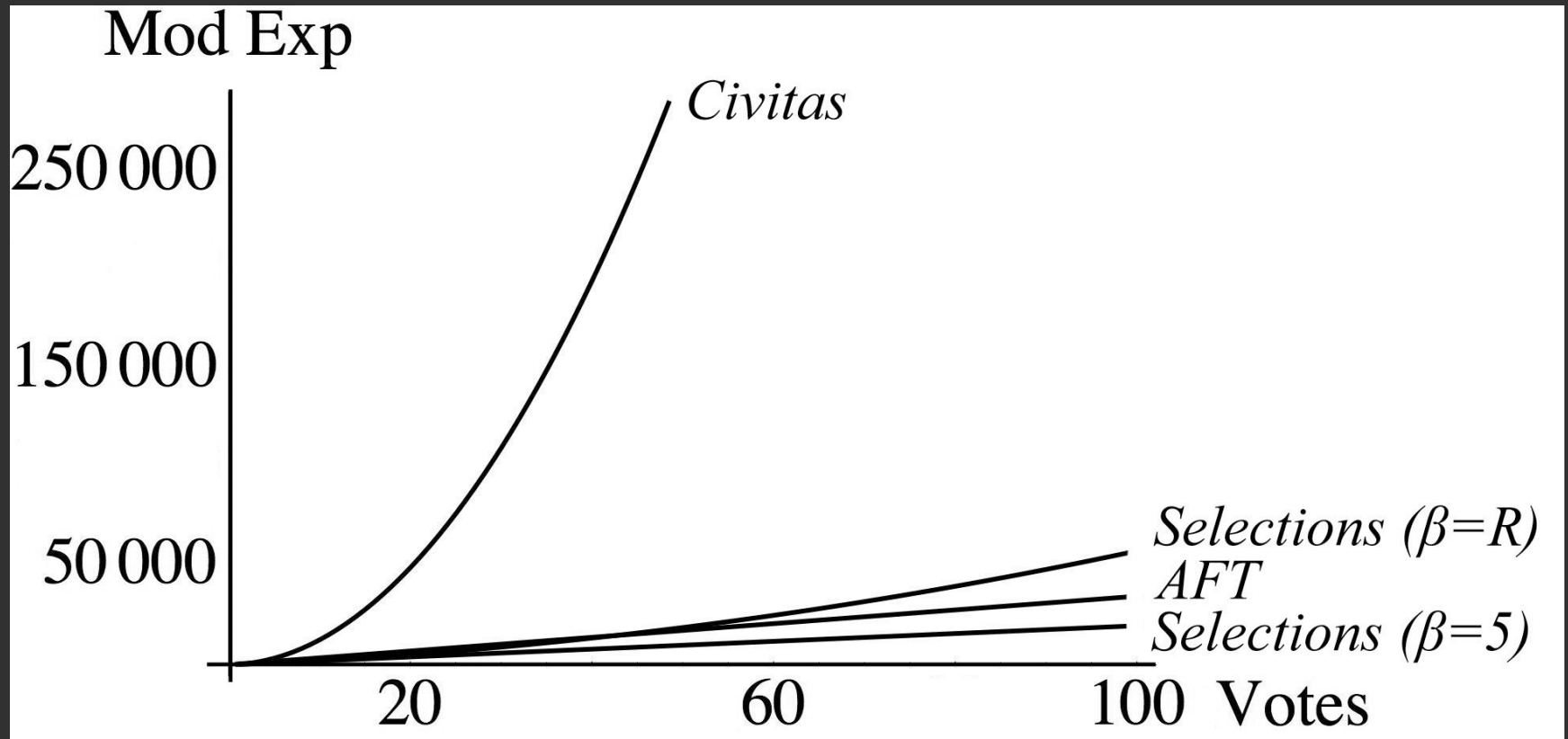
- Selections is an internet voting system that is **verifiably correct** and protects against **over-the-shoulder** adversaries
- It uses panic passwords to make both **authentication** and **deception** easier for the voter
- Tallying and revocation of voters are **efficient** in the number of voters

# Relation to Thesis

- Selections is designed to move coercion resistant, verifiably correct internet voting systems toward **deployability**
- This is reflected in making it password-based and in the registration process, as well as providing real-world **requirements** like revocation
- **Efficiency** is also a deployment concern: related work is too slow for typical sized precincts

- Verifiably correct:
  - Only votes from eligible voters are kept
  - Only votes with real passwords are kept
  - Only one vote per voter is kept
  - Votes are not modified
- Coercion resistant:
  - If sometimes voters actually vote how an over-the-shoulder adversary wants them to
  - Sometimes they deceive the adversary and vote the way they want to
  - The adversary cannot tell the two apart

# Efficiency





Thank You